

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	1214-2017-1
Temporary Application Number	1214-2017-1
Application Name	FY2017 5307 Operating Assistance and Capital Projects
Application Status	Initial Review / Concurrence
Application Budget Number	0

Part 1: Recipient Information

Name: BATTLE CREEK, CITY OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1214	Other Government Agency	BATTLE CREEK TRANSIT SYSTEM	020893244

Location Type	Address	City	State	Zip
Headquarters	10 N DIVISION ST	BATTLE CREEK	MI	490144004
Physical Address	10 N DIVISION ST	BATTLE CREEK	MI	49014
Mailing Address	FINANCE DEPARTMENT	BATTLE CREEK	MI	49016

Union Information

Union Name	AMALGAMATED TRANSIT UNION
Address 1	339 West Michigan
Address 2	
City	Battle Creek
State	Michigan
Zipcode	49017
Contact Name	Marilyn Bailey
Telephone	2699663474

Fax	2699663652
E-mail	Louise_49017@yahoo.com
Website	

Part 2: Application Information

Title: FY2017 5307 Operating Assistance and Capital Projects

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
1214-2017-1	Initial Review / Concurrence	Grant	4/26/2017	4/26/2017	No

Application Executive Summary

Funds requested in this grant application are for Battle Creek Transit (BCT) operating assistance and capital projects.

FY2017 Section 5307 funds will be used for operating assistance in the total amount of \$1,056,508. The local funds will be derived from the City of Battle Creek General Fund and State. The period of performance is October 1, 2016 through September 30, 2017.

FY2017 Section 5307 and Congestion Mitigation Air Quality (CMAQ) will complete the purchase of one heavy duty, low floor 35' replacement bus in the total amount of \$222,322. local funds for the CMAQ project are being derived from the State of Michigan General Fund. The replacement bus as met it's useful life of 10 years with 350,000 millage. The new vehicle will have a useful life of 12 years.

Attachments with this grant application include Amendment 5 of the TIP which lists projects, the UPWP documentation, and the long range planning document. The Governor's Allocation letter is attached dated July 11, 2017. The 5339 Allocation is also included on the Governor's Allocation letter dated July 11, 2017.

This application for Battle Creek Section 5307 federal assistance is consistent with the Designated Recipients Governor's apportionment letter dated July 11, 2017 and is attached to this application.

This application does not include funds for Research and/or Development Activities.

Indirect Costs will not be applied to this application and its scope of work.

The award start date is the same as the date of the award.

The award end date is 1/31/2018.

Frequency of Milestone Progress Reports (MPR)

No Selection Made

Frequency of Federal Financial Reports (FFR)

No Selection Made

Pre-Award Authority

This award is using Pre-Award Authority.

Will this Grant be using Lapsing Funds?

No Selection Made

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
			angelica.salgado@dot.gov	
Donna	Hutchison	Grants Program Administrator	dmhutchison@battlecreekmi.gov	269-966-3477

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	5307-2A	20507	\$1,056,508
49 USC 5307 - Urbanized Area Formula (FHWA xfer FY 2007 fwd)	5307-3	20507	\$177,858
Local			\$938,230
Local/In-Kind			\$0
State			\$1,492,189
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$3,664,785

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
1214-300-00 2017-1-P1 (300-)	OPERATING ASSISTANCE	\$1,056,508.00	\$2,385,955.00	\$3,442,463.00	1

1214-2017-1-P1	30.09.01	UP TO 50% FEDERAL SHARE	\$1,056,508.00	\$2,385,955.00	\$3,442,463.00	1
1214-2017-1-P2	111-00 (111-)	BUS - ROLLING STOCK	\$177,858.00	\$44,464.00	\$222,322.00	1
1214-2017-1-P2	11.12.02	BUY REPLACEMENT 35-FT BUS	\$177,858.00	\$44,464.00	\$222,322.00	1

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: FY2017 5307 Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
1214-2017-1-P1	1214-2017-1-P1	4/26/2017	10/1/2016	9/30/2017

Project Description

This project is Battle Creek Transit's operating assistance for FY2017 and will be used to fund associated operating expenses. The period of performance is October 1, 2016 through September 30, 2017.

Project Benefits

This project benefits the citizens of the Battle Creek urbanized area by enabling BCT to continue to provide transit services to the area.

Additional Information

Location Description

The operating assistance will be utilized in the urbanized area of Battle Creek MI UZA 262170 and which are parts of congressional districts 3 & 6.

Security

No -- our organization will NOT expend at least 1% of the 5307 funds in this application for security-related projects

Project Location (Urbanized Areas)

UZA Code	Area Name
260000	Michigan
262170	Battle Creek, MI

Congressional District Information

State	District	Representative
Michigan	3	Amash
Michigan	6	Upton

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	5307-2A	20507	\$1,056,508
Local			\$938,230
Local/In-Kind			\$0
State			\$1,447,725
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$3,442,463

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
1214-2017-1-P1	300-00 OPERATING ASSISTANCE (300-)	\$1,056,508.00	\$2,385,955.00	\$3,442,463.00	1
1214-2017-1-P1	30.09.01 UP TO 50% FEDERAL SHARE	\$1,056,508.00	\$2,385,955.00	\$3,442,463.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE				
Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Funds requested in this project of the grant application are for Battle Creek Transit operating expenses. Battle Creek Transit provides both line-haul bus services along ten (10) routes as well as demand response, para-transit services throughout the Battle Creek urbanized area.

This project is included in Amendment #5 of the TIP dated 5/24/17 and indicate as line 2.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	5307-2A	20507	\$1,056,508
Local			\$938,230
Local/In-Kind			\$0
State			\$1,447,725
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$3,442,463

Milestone Name	Est. Completion Date	Description
Initial Expenditure	10/1/2016	Funds requested in this project of this grant application are for Battle Creek Transit operating expenses. Battle Creek Transit provides both line-haul bus service along ten (10) routes as well as demand response, para-transit services throughout the Battle Creek urbanized area.
End Date	9/30/2017	Battle Creek Transit expects to have an estimated completion date of 30 days after award and execution and award of the operating assistance portion of the grant, we have listed the estimated completion date of 9/30/17.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$1,056,508.00	\$3,442,463.00

Project Title: FY2017 CMAQ Capital Assistance - Vehicle
--

Project Number	Temporary Project Number	Date Created	Start Date	End Date
1214-2017-1-P2	1214-2017-1-P2	4/26/2017	10/3/2016	10/31/2018

Project Description

FY2017 Congestion Mitigation Air Quality (CMAQ) will complete the purchase of one heavy duty, low floor 35' replacement bus in the total amount of \$222,322. These funds are being combined with CMAQ and STUL funding flexed from FY2016. The bus will replace a bus in the fleet which has reached it's useful life. local funds for the CMAQ project are being derived from the State of Michigan General Fund.

Project Benefits

Battle Creek Transit is looking to replace its aging fleet not only to reduce operational repair costs, but more importantly to better serve the Battle Creek urbanized area by providing up-to-date and, thus, safer vehicles.

Benefits of this replacement vehicle includes a potential reduction in overall fuel consumption from the new more fuel-efficient engine, and direct air quality improvement from the reduced emission levels of the new clean-diesel engine with updated and upgraded technology.

Additional Information

None provided.

Location Description

Battle Creek Transit provides ten (10) line-haul routes covering approximately 36 sq miles with an estimated population of 80,000. There are approximately 635 bus stops and 30 shelters around the Cities of Battle Creek and Springfield, and Townships of Bedford, Emmett, and Pennfield. In addition, Battle Creek Transit provides demand response and para-transit service to over 75 sq miles in the Cities of Battle Creek and Springfield, and Townships of Bedford, Emmett, and Pennfield.

Security

No -- our organization will NOT expend at least 1% of the 5307 funds in this application for security-related projects

Project Location (Urbanized Areas)

UZA Code	Area Name
260000	Michigan
262170	Battle Creek, MI

Congressional District Information

State	District	Representative
Michigan	3	Amash
Michigan	6	Upton

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - Urbanized Area Formula (FHWA xfer FY 2007 fwd)	5307-3	20507	\$177,858
Local			\$0
Local/In-Kind			\$0
State			\$44,464
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$222,322

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
1214-2017-1-P2	111-00 (111-) BUS - ROLLING STOCK	\$177,858.00	\$44,464.00	\$222,322.00	1
1214-2017-1-P2	BUY 11.12.02 REPLACEMENT 35-FT BUS	\$177,858.00	\$44,464.00	\$222,322.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.02 - BUY REPLACEMENT 35-FT BUS
--

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Battle Creek Transit will purchase a heavy duty, low floor bus for our fixed route service to replace one of our aging fleet. These funds are being combined with CMAQ and STUL funding flexed from FY2016.

The vehicle to be replaced has met its useful life of 10 years and/or 350,000 miles. The new vehicle will have a useful life of 12 years or 500,000 miles.

This project is included in Amendment #5 of the TIP dated 7/19/17, page 1 and is identified as line item #1 and is attached to this grant.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	35 Foot

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - Urbanized Area Formula (FHWA xfer FY 2007 fwd)	5307-3	20507	\$177,858
Local			\$0
Local/In-Kind			\$0
State			\$44,464
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$222,322

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/3/2016	Battle Creek Transit did a RFP in October of 2016 for 2 heavy duty, low floor 35' buses. At that time, funding for one bus was secured through FY2016 CMAQ & STUL flexed funds. It was the understanding of all parties involved that the purchase of the second bus would be contingent upon if FY2017 CMAQ funding is received.
Contract Award Date	12/29/2017	Because funds are being combined with FY2016 CMAQ & STUL flexed funds, purchase of this vehicle will be dependent upon funding being received.
Final Delivery Date	10/31/2018	

Contract Completion Date	1/31/2018
-----------------------------	-----------

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	1	\$177,858.00	\$222,322.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-23, October 1, 2016)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(23), October 1, 2016, <http://www.fta.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal grant as follows:

Recipient Information

Recipient Name: BATTLE CREEK, CITY OF

Recipient ID: 1214

DUNS No: 020893244

Application Information

Federal Award Identification Number: 1214-2017-1

Application Name: FY2017 5307 Operating Assistance and Capital Projects

Application Executive Summary: Funds requested in this grant application are for Battle Creek Transit (BCT) operating assistance and capital projects.

FY2017 Section 5307 funds will be used for operating assistance in the total amount of \$1,056,508. The local funds will be derived from the City of Battle Creek General Fund and State. The period of performance is October 1, 2016 through September 30, 2017.

FY2017 Section 5307 and Congestion Mitigation Air Quality (CMAQ) will complete the purchase of one heavy duty, low floor 35' replacement bus in the total amount of \$222,322. local funds for the CMAQ project are being derived from the State of Michigan General Fund. The replacement bus as met it's useful life of 10 years with 350,000 millage. The new vehicle will have a useful life of 12 years.

Attachments with this grant application include Amendment 5 of the TIP which lists projects, the UPWP

documentation, and the long range planning document. The Governor's Allocation letter is attached dated July 11, 2017. The 5339 Allocation is also included on the Governor's Allocation letter dated July 11, 2017.

This application for Battle Creek Section 5307 federal assistance is consistent with the Designated Recipients Governor's apportionment letter dated July 11, 2017 and is attached to this application.

This application does not include funds for Research and/or Development Activities.

Indirect Costs will not be applied to this application and its scope of work.

The award start date is the same as the date of the award.

The award end date is 1/31/2018.

Total Application Budget: \$3,664,785.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,234,366.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$2,430,419.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,234,366.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$2,430,419.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	5307-2A	20507	\$1,056,508
49 USC 5307 - Urbanized Area Formula (FHWA xfer FY 2007 fwd)	5307-3	20507	\$177,858
Local			\$938,230
Local/In-Kind			\$0
State			\$1,492,189
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$3,664,785

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

Project Information

Project Number	Project Title	Project Description
-----------------------	----------------------	----------------------------

1214-2017-1-P1	FY2017 5307 Operating Assistance	This project is Battle Creek Transit's operating assistance for FY2017 and will be used to fund associated operating expenses. The period of performance is October 1, 2016 through September 30, 2017.
----------------	----------------------------------	---

Project Funding Summary

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	5307-2A	20507	\$1,056,508
Local			\$938,230
Local/In-Kind			\$0
State			\$1,447,725
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$3,442,463

Project Number	Project Title	Project Description
1214-2017-1-P2	FY2017 CMAQ Capital Assistance - Vehicle	FY2017 Congestion Mitigation Air Quality (CMAQ) will complete the purchase of one heavy duty, low floor 35' replacement bus in the total amount of \$222,322. These funds are being combined with CMAQ and STUL funding flexed from FY2016. The bus will replace a bus in the fleet which has reached it's useful life. local funds for the CMAQ project are being derived from the State of Michigan General Fund.

Project Funding Summary

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - Urbanized Area Formula (FHWA xfer FY 2007 fwd)	5307-3	20507	\$177,858
Local			\$0
Local/In-Kind			\$0
State			\$44,464
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$222,322

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

TERMS AND CONDITIONS

Special Conditions

There are no special conditions.

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

BATTLE CREEK, CITY OF